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Inside the envelop CD

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jc962 U.S. PTO
01/18/01

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No. 208753 First Inventor Mizuho HATTORI Title NOVEL PLASMID, BEARING THE PLASMID, AND METHOD OF PRODUCING AN ENZYME USING THE TRANSFORMANT Express Mail Label No. EL643536716US
APPLICATION ELEMENTS		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Utility Patent Application Transmittal Form 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification (including claims and abstract) [Total Pages 18] 4. <input checked="" type="checkbox"/> Drawings [Total Sheets 1] 5. <input checked="" type="checkbox"/> Combined Declaration and Power of Attorney [Total Pages 4] a. <input checked="" type="checkbox"/> Newly executed b. <input type="checkbox"/> Copy from prior application [Note Box 6 below] i. <input type="checkbox"/> <u>Deletion of Inventor(s)</u> . Signed statement attached deleting inventor(s) named in the prior application 6. <input type="checkbox"/> Incorporation by Reference: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered as part of the disclosure of the accompanying application and is hereby incorporated by reference. 7. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 8. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 9. Nucleotide and/or Amino Acid Sequence Submission a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> Paper Copy c. <input checked="" type="checkbox"/> Statement verifying identity of above copies		ACCOMPANYING APPLICATION PARTS 10. <input type="checkbox"/> Applicant requests early publication. (include publication fee under 37 CFR 1.18(d)) 11. <input type="checkbox"/> Assignment Papers (cover sheet and document(s)) 12. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an Assignee) 13. <input type="checkbox"/> Power of Attorney 14. <input type="checkbox"/> English Translation Document (if applicable) 15. <input type="checkbox"/> Information Disclosure Statement (IDS) <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of Listed Documents 16. <input type="checkbox"/> Preliminary Amendment 17. <input checked="" type="checkbox"/> Return Receipt Postcard (Should be specifically itemized) 18. <input type="checkbox"/> Certified Copy of Priority Document(s) 19. <input type="checkbox"/> Request & Certification Under 35 USC 122(b)(2)(B)(i) (Form PTO/SB/35 or its equivalent attached) 20. <input type="checkbox"/> Other:
21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information in (a) and (b) below: (a) <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of prior application no. Prior application information: Examiner ; Group Art Unit: (b) Preliminary Amendment: Benefit of earlier filing date - 35 USC 120. The Commissioner is requested to amend the specification by inserting the following sentence before the first line: "This is a <input type="checkbox"/> continuation <input type="checkbox"/> divisional <input type="checkbox"/> continuation-in-part (CIP) of <input type="checkbox"/> Application No. , filed on , which is incorporated by reference." <input type="checkbox"/> International Application No. , filed on , which designates the U.S., and which is incorporated by reference."		

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UTILITY PATENT APPLICATION TRANSMITTAL

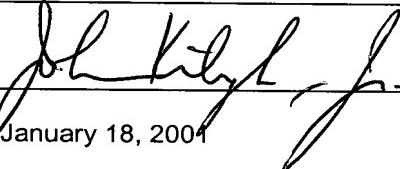
Attorney Docket No. 208753

APPLICATION FEES

BASIC FEE				\$710.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	6	-20=	0	x \$18.00 \$0.00
Independent Claims	1	- 3=	0	x \$80.00 \$0.00
<input type="checkbox"/> Multiple Dependent Claim if applicable				+\$270.00 \$0.00
				Total of above calculations = \$710.00
				Reduction by 50% for filing by small entity = \$(0.00)
<input type="checkbox"/> Assignment fee if applicable				+ \$40.00 \$0.00
<input type="checkbox"/> Early publication fee if applicable				+ \$300.00 \$0.00
				TOTAL = \$710.00

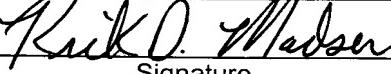
22. Please charge my Deposit Account No. 12-1216 in the amount of \$.
23. A check in the amount of \$710.00 is enclosed.
24. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 12-1216:
- a. Fees required under 37 CFR 1.16.
 - b. Fees required under 37 CFR 1.17.
25. The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 12-1216 for any fee that may be due in connection with such a request for an extension of time.

26. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number: 23460	<input type="checkbox"/> John Kilyk, Jr., Reg. No. 30,763 Leydig, Voit & Mayer, Ltd. Two Prudential Plaza, Suite 4900 180 North Stetson Chicago, Illinois 60601-6780 (312) 616-5600 (telephone) (312) 616-5700 (facsimile)
	
23460	
PATENT TRADEMARK OFFICE	
Name	John Kilyk, Jr., Registration No. 30,763
Signature	
Date	January 18, 2004

Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this Utility Patent Application Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

		January 18, 2001
Name of Person Signing	Signature	Date

Utility (Rev. 11/13/2000)

PATENT
Attorney Docket No. 208753

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hattori et al.

Application No. Unassigned

Group Art Unit: Unassigned

Filed: January 18, 2001

Examiner: Unassigned

For: NOVEL PLASMID, BEARING THE PLASMID,
AND METHOD OF PRODUCING AN
ENZYME USING THE TRANSFORMANT

SUBMISSION OF SEQUENCE LISTING

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with the requirements of 37 C.F.R. §§ 1.821-1.825, a sequence listing is being submitted as part of the patent application. The sequence listing is in the form of both a paper copy and a computer readable copy on a computer diskette. The undersigned hereby verifies that the contents of the paper copy and the computer readable copy, as concurrently being submitted, are the same.

Respectfully submitted,


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Date: January 18, 2001